

# **Policy on Percent Effort on Grants**

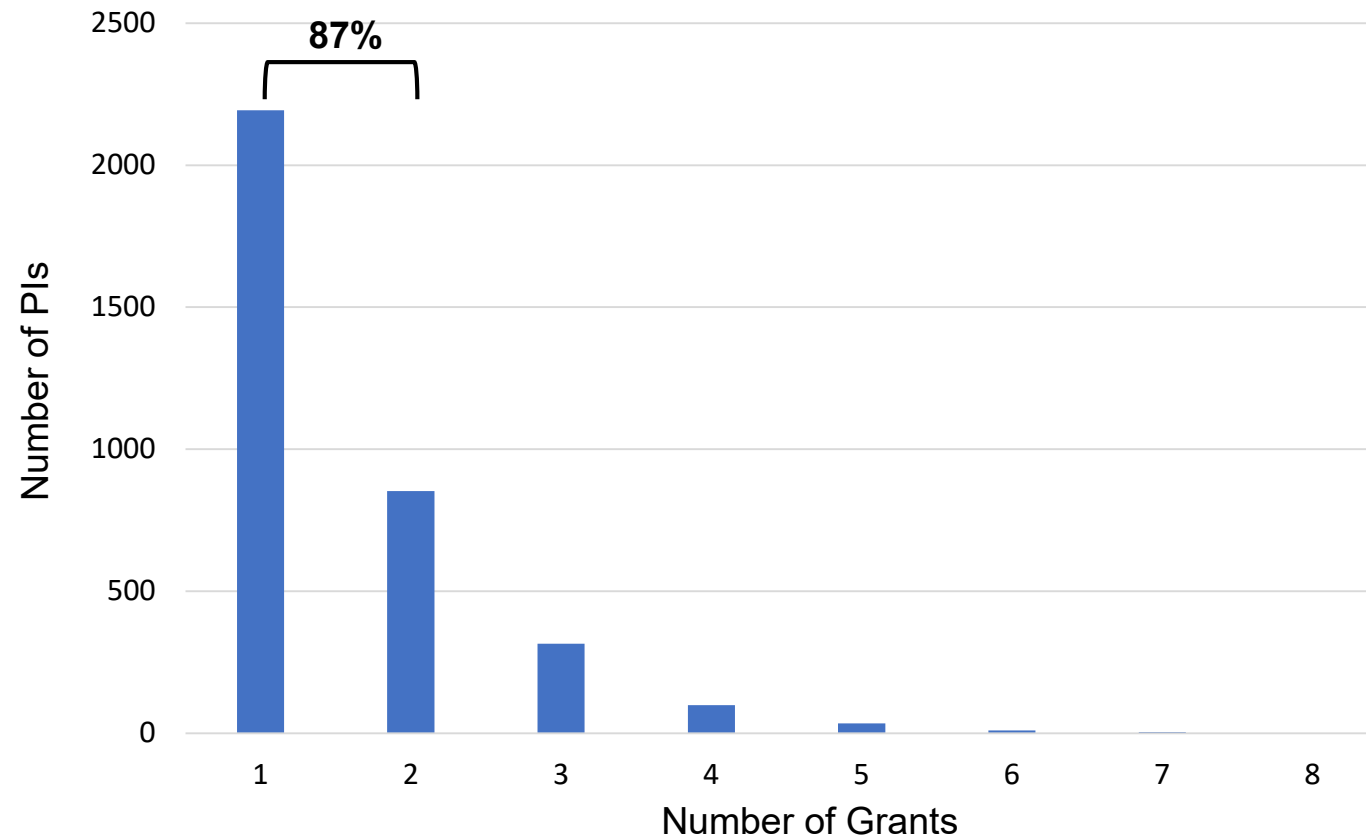
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## **Background**

- **At its past meetings, the NCAB has voiced concern during the SCR discussions about the levels of effort PI's are devoting to grants**
  - **In some cases, this has been less than 5% for significant grant mechanisms**
- **Except in a few cases (e.g., R35 OIA, P50) there are no general NCI policies requiring a minimum percent effort**

# Is There A Problem That Needs To Be Addressed?

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## **Is There A Problem That Needs To Be Addressed?**

- **The majority of NCI RO1 recipients have only one or two RO1s**
- **However, some PIs request so little effort that it is unlikely they have sufficient time to provide good leadership.**
- **Adoption of minimal effort requirements for R01, U01, R21, and P01 grants would:**
  - **Ensure that appropriate effort and attention are devoted to leadership.**
  - **Impose minimal effort requirements across all similarly sized grants at NCI. Minimal effort requirements already are in place for some grant mechanisms (e.g. R35, P30, P50, and U54 grants).**

# Principles Guiding Policy

- **Required effort should be assigned for:**
  - **Grants that provide substantial support for a lab's research efforts (e.g., R01's, U01's, etc.)**
  - **Projects within some complex research grants (e.g., within PO1's or U54's)**
  - **Major leadership role within a complex research grant (e.g., P20, P30, P50)**
- **Other grants that are higher risk, have shorter durations, or are in areas where NCI wishes researchers to contribute to the community effort (e.g., R03's, training grants) should not have a required minimum**
- **Required effort should be maintained for the duration of the award.**
- **Researchers should be able to request exceptions, which would be reviewed by Program and approved if well justified.**

# Percent Effort Policy

Mechanism	Role	Level of Effort	Comments
R01/UO1	PI	15%	1.8 person-months/year
Multi P1 R01s	PI	10%	
R35	PI	50%	Established previously
P01	PI Project PI Core leader	10% <sup>1</sup> 15% 5-10% <sup>2</sup>	+15% if also project PI (25% maximum)
P50	<i>PI or 2 Multi-PIs</i> <i>3 or more Multi-PIs</i> <i>Co-leads</i> <i>Core Director</i>	<i>20% each</i> <i>15% each</i> <i>5%</i> <i>5-10%<sup>2</sup></i>	Current policy
P30	<i>PI</i> <i>Core Director</i>	<i>25%</i> <i>5-10%<sup>2</sup></i>	Current policy
U54, U56, UM1, etc.		Stipulate in RFA	
R21	PI	5%	
T32/K12, R03, R15, R21		No policy	

<sup>1</sup>Includes effort as administrative Core Director

<sup>2</sup>Applicants should justify minimum effort, based on the proposed activities of the Core

**Questions?**