

Modeling Outcomes Using Surveillance Data & Scalable Artificial Intelligence For Cancer

Principal Investigators

Dr. Lynne Penberthy Associate Director of the Surveillance Research Program, NCI

Dr. Heidi Hanson Group Leader, Biostatistics and Biomedical Informatics, ORNL



Technical Leads

Dr. Betsy Hsu Chief, Surveillance Informatics Branch, NCI

Dr. John Gounley Group Leader, Scalable Biomedical Modeling, ORNL



Surveillance Epidemiology and End Results (SEER)



Dark Blue represents Core Registries (Reporting data)

Light Blue represents *Research Support Registries-* participate in special projects



SEER authorized by National Cancer Act of 1971 with a mission to support research

- Collects diagnosis, treatment, and outcomes of cancer since 1973
- Provide baseline data on U.S. cancer incidence and survival
- De-identified data submitted to NCI

18 Population-based registries representing ~48% of the U.S. population

- >850,000 incident cases annually
- Approximately 85% of cases with real time electronic pathology reporting
- A single abstract is consolidated from ~3.6 records/case
- Complex and heterogenous data, including new data sources and real time data feeds
- Largely manual process for screening and abstraction

SEER*Data Management System (SEER*DMS)

- Enables centralized efficient linkages
- Simultaneous implementation of new tools
- Ability develop a common, optimized production workflow

The MOSSAIC Challenge

Translational AI for better cancer surveillance and ultimately better cancer care.





Real World Evidence AI for Near Real-Time Health Surveillance Covering 48% of the US Population

Gross Description:

Part #1 is labeled "left breast biopsy" and is received fresh after frozen section preparation. It consists of a single very firm nodularity measuring 3 cm in circular diameter and 1.5 cm in thickness, surrounded by adherent fibrofatty tissue. On section a pale gray, slightly mottled appearance is revealed. Numerous sections are submitted for permanent processing.

Part #2 is labeled "apical left axillary tissue" and is received fresh. It consists of two amorphous fibrofatty tissue masses without grossly discernible lymph nodes therein. Both pieces are rendered into numerous sections and submitted in their entirety for

Part #3 is labeled "contents of left radical mastectomy" and is received fresh. It consists of a large ellipse of skin overlying breast tissue, the ellipse measuring 20 cm in length and 14 cm in height. A freshly sutured incision extends 3 cm directly lateral from the areola, corresponding to the closure for removal of part #1. Abundant amounts of fibrofatty connective tissue surround the entire breast, and the deep aspect includes an 8 cm length of pectoralis minor and a generous mass of overlying pectoralis major muscle. Incision from the deepest aspect of the specimen beneath the tumor mass reveals tumor extension grossly to within 0.5 cm of muscle. Sections are submitted according to the following code: DE - deep surgical resection margins; SU, LA, INF, ME - full thickness radial respectively; NI - nipple and subjacent tissue. Lymph nodes dissected free from axillary fibrofatty tissue from levels I. II. and III will be labeled accordingly

Microscopic:

National Laboratory

Sections of part #1 confirm frozen section diagnosis of infiltrating duct carcinoma. It is to be noted that the tumor cells show considerable pleomorphism, and mitotic figures are frequent (as many as 4 per high power field). Many foci of calcification are present within the tumor

SEER*Data Management System Standard/Coding of Records

| | Site | Sub-site | Histology | Laterality | Behavior | | | |
|---|------|----------|-----------|------------|----------|--|--|--|
| | C50 | C501 | 8000 | 2 | 1 | | | |
| OAK RIDGE NIH NATIONAL CANCER INSTITUTE | | | | | | | | |

Auto-Extraction from Pathology Reports: Accuracy: Auto-coding of 23-27% of path reports with > 98% accuracy across all data elements.

- Phenotype classifications:
 - Site = 70 categories
 - Sub-site = 324 categories
 - Histology = 626 categories
 - Laterality = 7 categories
 - Behavior = 4 categories.

Auto-coding performance can be easily tuned

Production implementation Hierarchical Self Attention Model (HiSAN) with Deep Abstention:

•Total 19 registries (additional 7 since March 2022; ~39% of US population)

 Default as part of any new DMS installation, regardless of SEER affiliation

•1-3 new registries anticipated in 2024/2025

- Testing phase with the Veteran's Health Administration
- Leveraged by registries to increase operational efficiency

Approximately 14,000 person hours/year saved

Democratizing AI for the Oncology Community





FrESCO Innovative real-world solutions for automatic case classification

Development of scalable natural language processing and machine learning tools

- Deep text comprehension of unstructured clinical text
- Accurate, automated capture of reportable cancer surveillance data elements







BARDI for AI readiness of clinical data British Columbia Cancer Registry

- BARDI: our AI-readiness package for clinical data
- Encodes text data into numeric representations ("tokenization") that can be used in downstream deep learning models.
- Supports multiple methods of tokenization for maximum flexibility and interoperability.
- Now ready for research in de-identification and synthetic data generation



Framework for Exploring Scalable Computational Oncology (FrESCO) Near Real-Time Identification of Veteran's with Cancer

- Modular deep-learning natural language processing library for extracting information from clinical documents
- FrESCO has been used to train models with EHR notes and electronic pathology records



| Selected Results: | FrESCO | | |
|-------------------|-----------------------------|-------|------|
| Task | F1 | Prec. | Rec. |
| Reportablity | 0.95 | 0.94 | 0.95 |
| Breast | 0.99 | 0.99 | 0.99 |
| Cervical | 0.88 | 0.88 | 0.88 |
| Uterine | 0.72 | 0.73 | 0.71 |
| Overall Accuracy | Site: 0.92; Histology: 0.79 | | |



Data 2006 - 2018 N=1,081,161

OAK RIDGE NIH NATIONAL CANCER INSTITUTE

J. Stringer, K. Rasmussen, V. Patel, C. Li, A. Halwani

Improving Autocoding Accuracy



Increase Autocoding rates to 50% by the end of CY24 HiSAN Histology F1Macro = 0.33

- Path-BigBird: A large-language model trained from scratch using SEER reports (Histology F1Macro = 0.37)
- Phrase-Level Attention: Phrase level attention focuses on phrase level context, such as non-small cell carcinoma (Histology F1Macro = 0.41)
- **Mixture of experts:** Develop age/sex-specific models to boost performance on minority classes.
- **Hierarchy:** Create hierarchical model to reflect hierarchical nature of clinical classification tasks
- Heterogenous ensembling methods: Assess feasibility of an ensemble approach combining DeepPhe and MOSSAIC model predictions.

Reportability: Near Real-Time Case Identification





Real-time Cancer Case Identification Reportability API

- Cancer registries only authorized to hold information on cancer cases
- Mix of methods to filter disease-reportable reports from facilities before providing to registries
 - Large number of false positives received by registries
 - Manual review still often required to confirm "reportable" case
- Essential for goals of:
 - Rapid case ascertainment for research studies
 - Near real-time incidence reporting
- Validating performance across broader set of registries

Real-time Cancer Case Identification Reportability API

- Model trained with **3,796,593** pathology reports from Seattle
- Reports span 2015 2022
- Hierarchical Self-Attention Model (HiSAN)
- A central repository of high-quality SEER data allowed for the development and rapid deployment of an automatic classification algorithm

Accuracy and Macro F1 Score for Seattle Test Set

| | Accuracy | Macro |
|---------------|----------|--------|
| HiSAN: Random | 0.9978 | 0.9969 |
| HiSAN: Year | 0.9965 | 0.9952 |

Note: Multiple versions of the trained model were tested. The "Random" split model was trained on a 70% train, 15% validation, and 15% test set. We ran a series of tests to test for temporal shifts in performance of the model. We found no evidence of temporal drift. The "Year" results displayed here show the results from a model trained on data from 2015 – 2020 and tested on data from 2021 and 2022.

Near Real Time Recurrence and Metastasis





Near Real-Time Recurrence and Metastasis Reporting

Challenge:

 No standard for population level data collection of recurrent metastatic disease – making it difficult to assess risk

Solution:

- MOSSAIC development of algorithm to classify pathology reports by metastasis
- Key to creation of recurrent metastatic disease dataset within SEER
 - Development of methods that incorporate multimodal data from claims data and hospital reported sources

Results: HiSAN model trained with Pathology Report Data

| | Prec | Recall | F1 |
|-----------|------|--------|------|
| No mets | 0.94 | 0.94 | 0.94 |
| Mets | 0.81 | 0.87 | 0.84 |
| Uncertain | 0.44 | 0.19 | 0.27 |
| Accuracy | | | 0.90 |
| | | | |

Test set of 5389 reports

We can achieve accuracy of 0.94 with a 9% abstention rate.

15

Real World Use Case: Privacy Preserving Federated Learning





Near Real-Time Health Data Analytics Privacy Aware Federated Learning

The National AI Research Resource (NAAIR) Task Force National Childhood Cancer Registry DOE ASCR Biopreparedness (BRaVE) Funding



Privacy Preserving Hackathon: June 2024

Our current implementation

Cross Silo

High

privacy

- Horizontal
- Model Centric Need for Cooperative Agreement between institutions/participants

Differential Privacy
Privacy/Accuracy Tradeoff

Innovation: SEER data make us completely innovative in this space. We can design and test solutions for <u>real-world application</u> at population scale and potentially leverage for international collaborations

High

accuracy

Linking the External Exposome





Measuring the External Exposome Centralized-Health and Environment Repository (C-HER)

- SEER has captured a patient's residential history from diagnosis year 2005+ via Lexis Nexis linkage
- Residential history from 1995 Present
- First linkages: Air pollution, toxic releases, and indoor radon
- Over 70 Social and Environmental Measures are in the C-HER database.





MOSSAIC: Translational AI for better cancer surveillance and ultimately better cancer care.



Real World Solution

https://github.com/DOE-NCI-MOSSAIC

FrESCO



NIH NATIONAL CANCER INSTITUTE

20 **CAK RIDGE** National Laboratory