# Scientific Update to the BSA: HMO Cancer Research Network (CRN)

Rachel Ballard-Barbash, MD, MPH

Associate Director, Applied Research Program Division of Cancer Control and Population Sciences



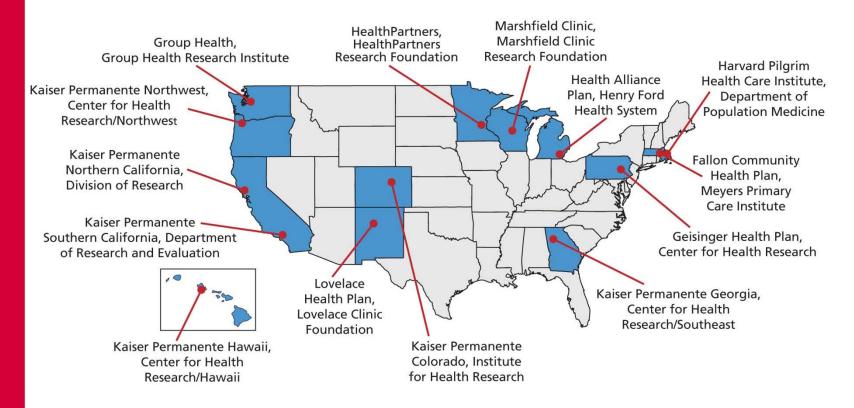
November 1, 2010

# Cancer Research Network (CRN)

- Started in 1999; most recently funded in 2007
- Cooperative Agreement/Research Network Grant (U19)
- Network members are 14 research organizations affiliated with large integrated healthcare systems (HMOs) covering nearly 11 million individuals
- CRN is model for similar initiatives at NHLBI,
   NIMH, and NIH Common Fund

#### 14 Research Sites in the CRN

#### Cancer Research Network Sites

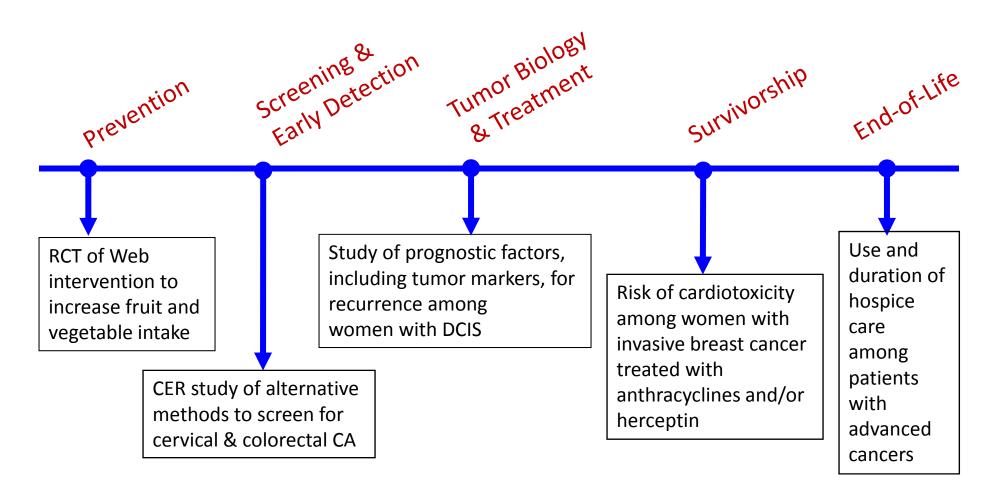


~11 million enrollees (3% of US population)

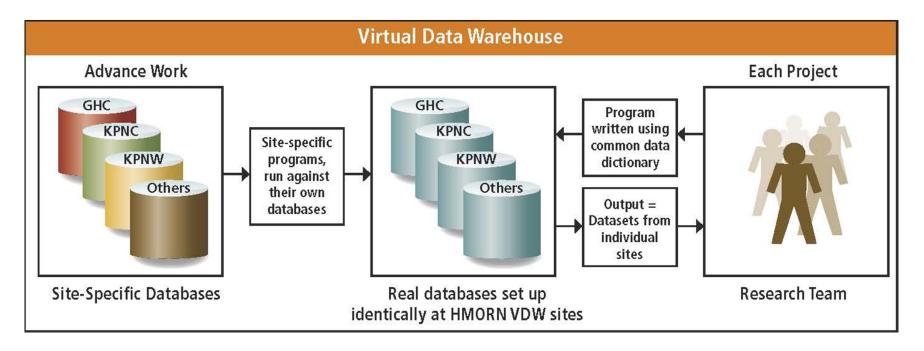
#### **CRN** Research Themes

- Cancer Epidemiology, Prevention, and Health Promotion
- Dissemination and Implementation
- Health Care Delivery, Quality and Outcomes
- Cancer Communication and Decision-Making
- Psychosocial Factors and Burden of Cancer
- Health Insurance Benefit Designs and Patterns of Care

# CRN Research: Examples Across the Cancer Control Continuum



### Virtual Data Warehouse (VDW)

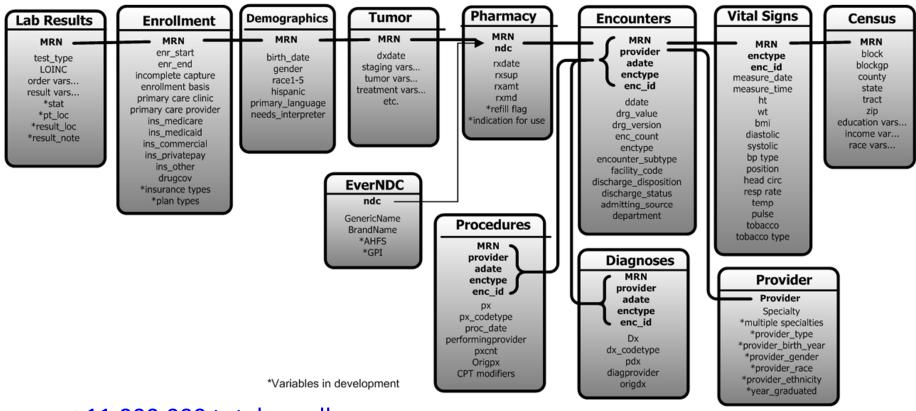


#### The VDW is populated by automated data from the following sources:

- Tumor registry
- Enrollment
- Demographics
- Pharmacy
- Utilization

- Geocoding
- Laboratory
- Chemotherapy
- Radiology
- Pathology

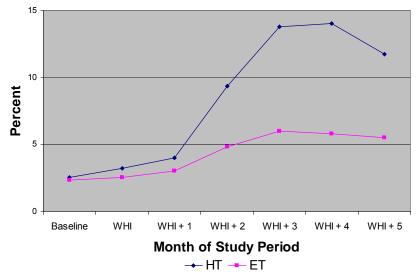
### Scale and Scope of CRN Data



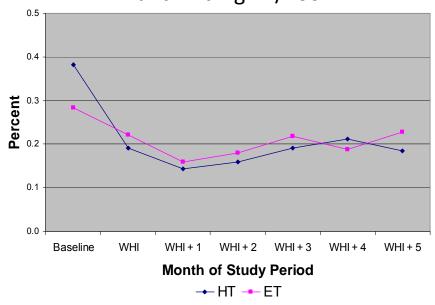
- ~11,000,000 total enrollees
- 505 patient clinics across the 14 CRN sites
- ~100,000 incident cancers per year
- ~69,000,000 Rx fills per year
- 8 of 14 CRN sites have active collaborations with Cancer Centers

# Rapid Declines in HRT Use Documented with CRN Pharmacy Data

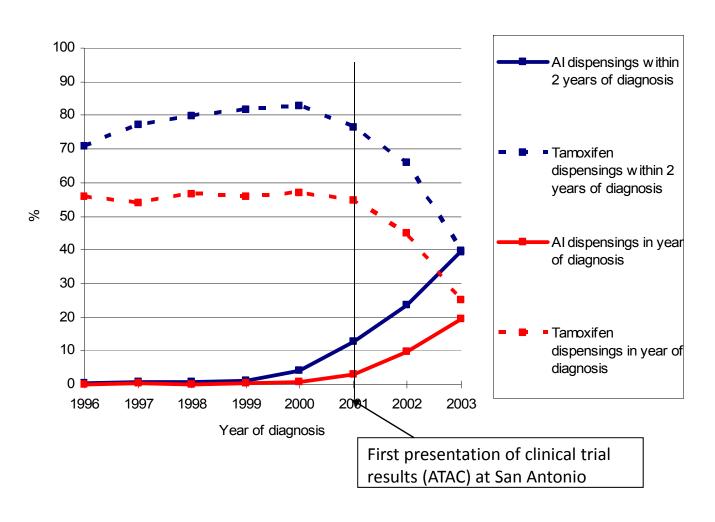
# Percent of HT and ET Users that Discontinue by Study Month



#### Percent of HT and ET Non-users that Initiate by study month Starting 9/1999 and Ending 12/2002



# Rapid Changes in Adjuvant Hormonal Therapy for Breast Cancer Documented with CRN Pharmacy Data



#### Scientific Accomplishments: Funding

- Since 2006, CRN and affiliated investigators have received competitive funding for
  - 17 NIH grants as well as several smaller contracts
  - 8 projects funded by other agencies (e.g. AHRQ, DoD, IOM)
- Research areas include:
  - Comparative Effectiveness of Screening, Treatment and Genomic Medicine
    - Breast, cervical, colorectal, and late stage cancers
  - Survivorship
    - Breast, colorectal and prostate cancers
  - Pharmaco-epidemiology
    - Breast and lymphoid cancers
  - Cancer Communication
  - Healthcare Delivery System Informatics
  - Using Healthcare Systems to Increase Participation in Clinical Trials

#### Scientific Accomplishments: Publications

- Over 100 scientific publications since 2006:
  - Epidemiology
    - American Journal of Epidemiology, Journal of Clinical Epidemiology, Nature Genetics and others
  - Clinical Medicine
    - Journal of the National Cancer Institute, Journal of Clinical Oncology, Archives of Internal Medicine and others
  - Public Health
    - American Journal of Public Health, Public Health Genomics,
       Medical Care, Health Affairs and others

#### **CRN Scholars & Development Programs**

#### CRN Pilot Research Program

- 17 research pilot projects funded involving academic researchers (will fund 3-5 more)
- Led to multi-site R21s and R01s, K and other training awards, and manuscripts

#### CRN Scholars Development Program

- 28 Scholars/Junior Investigators
- 12 Scholars either lead or co-lead CRN pilot research projects
- Hands-on mentorship and networking opportunities with NIH scientists which have led to funded R, GO and Challenge grants, training awards and manuscripts

#### **CRN Scientific Presentations**

- CRN Informatics R&D
  - Mark Hornbrook, PhD, Chief Scientist, Kaiser Permanente
     Northwest Center for Health Research
- Breast Cancer Research in the CRN
  - Rebecca Silliman, MD, PhD, Professor, Boston University School of Medicine
- Career in Cancer Research in the HMO CRN
  - Chyke Doubeni, MD, MPH, Assistant Professor, Family Medicine and Community Health, University of Massachusetts Medical School
- UCSF Collaborative Study on Medical Radiation and Cancer Risk
  - Rebecca Smith-Bindman, MD, Professor, Departments of Radiology, Epidemiology/ Biostatistics, Obstetrics and Gynecology, UC San Francisco



### **CRN Informatics R&D**

#### Mark C. Hornbrook PhD

Chief Scientist

The Center for Health Research, Northwest/Hawaii/Southeast

Kaiser Permanente

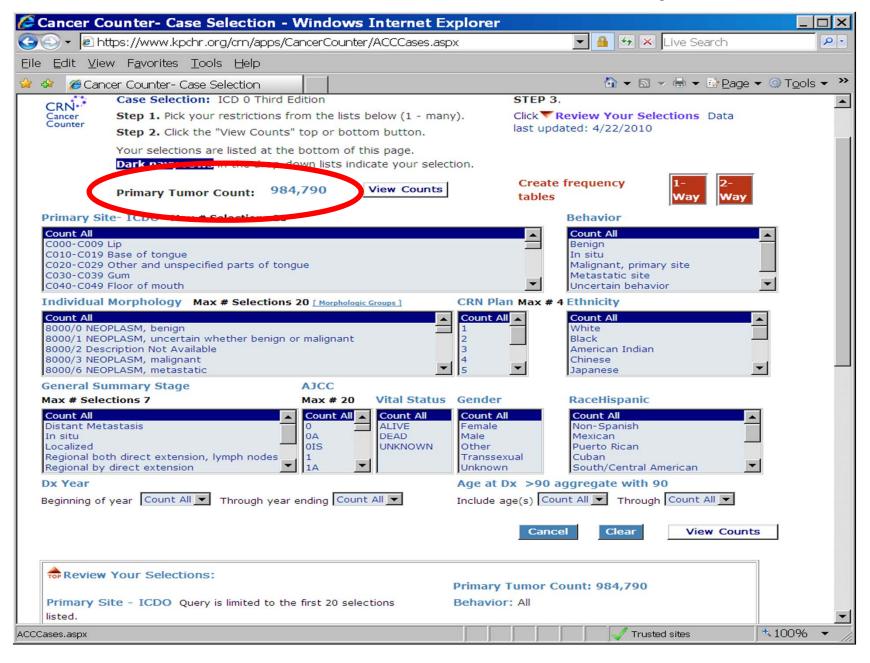
**Investigator and Co-PI, CRN** 



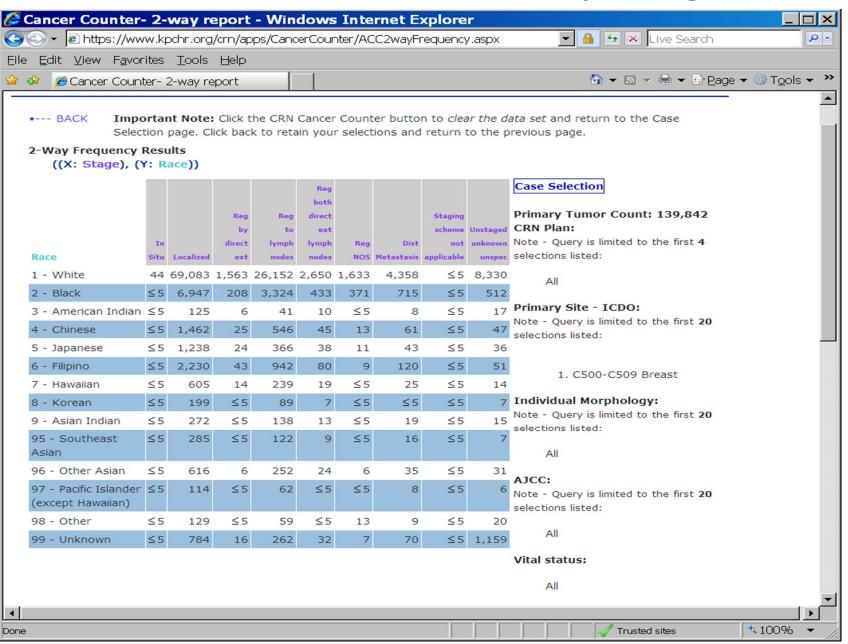
# **Key Informatics Resources**

- CRN can rapidly summarize clinical data to assess study feasibility and inform study design and logistics
- CRN can link Census and other geospatial information with HMO clinical data to test environmental factors
- CRN is on the cutting edge of assessing the usefulness of and implementing emerging informatics tools, such as Natural Language Processing and Distributed Research Networks
- CRN HMOs are on the cutting edge of implementing oncology EMR systems and adapting them for research purposes

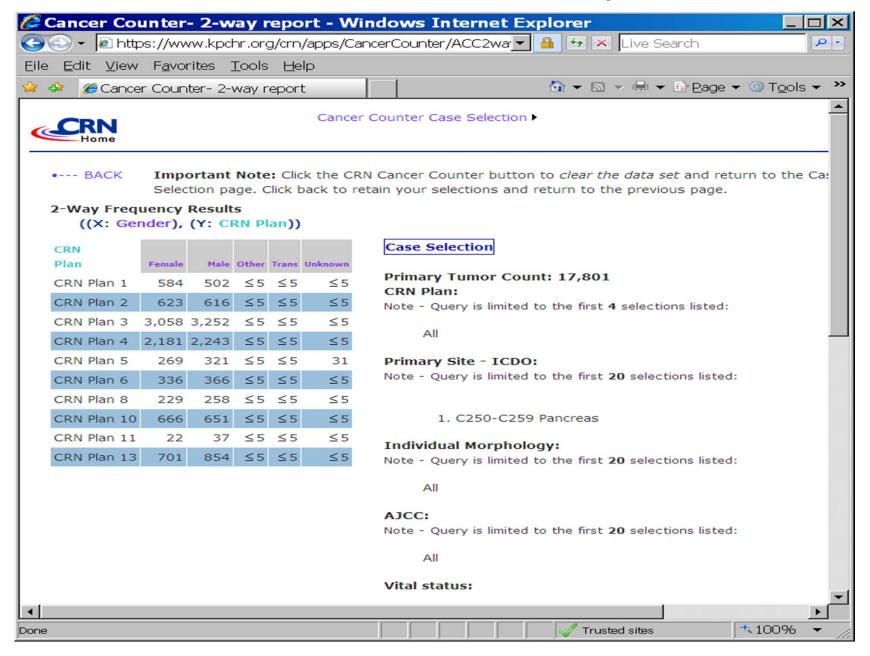
### **CRN Cancer Counter Query Tool**



### Breast Cancer: Race by Stage

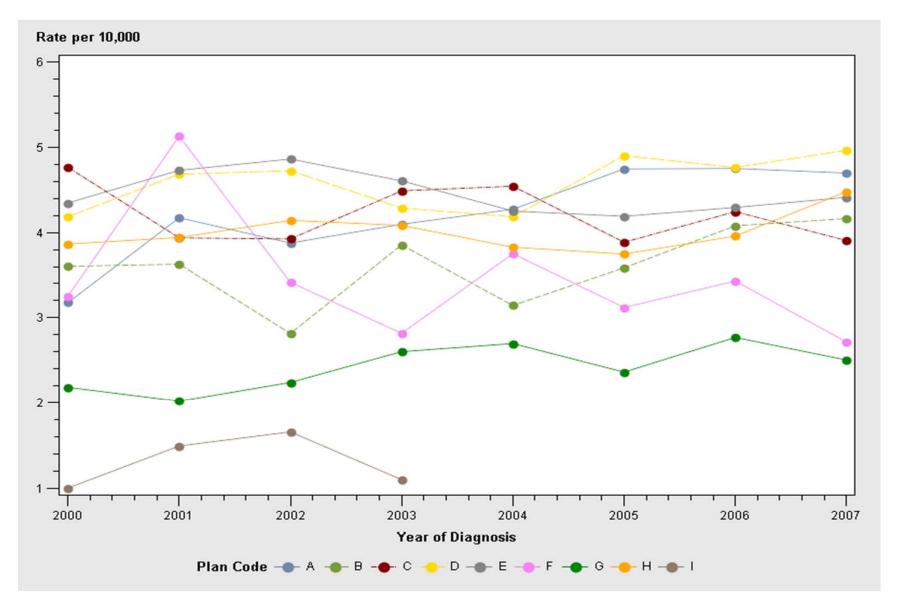


### Pancreatic Cancer: Gender by Health Plan



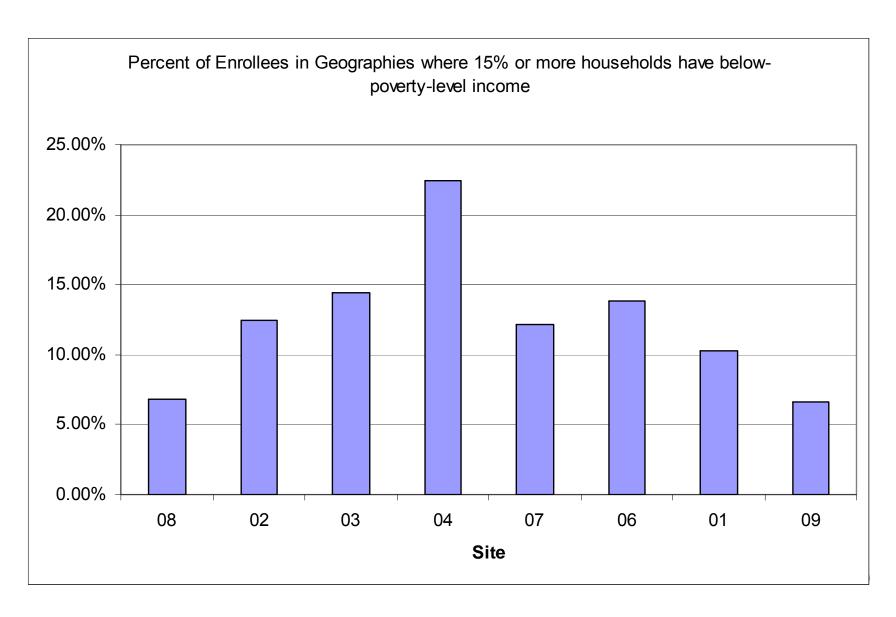


#### **Colorectal Cancer Rates**





# Economic Diversity: Census and Geospatial Data Links

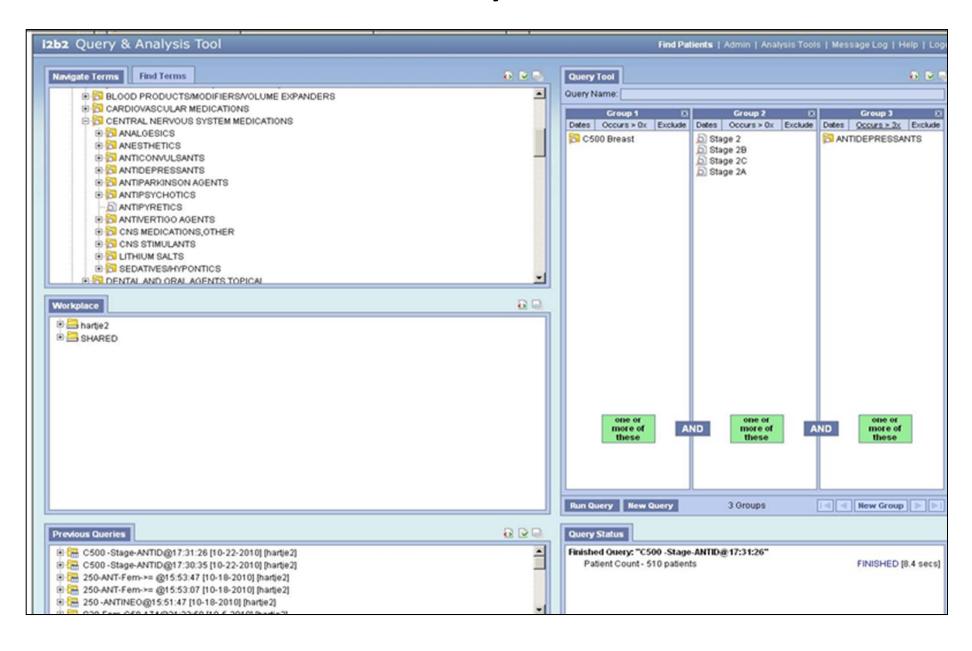


# Validation of Neighborhood Socio-Economic Status (SES) Index

		Percent (by Row) of Self-Reported Education				N of Survey
		≤ HS Grad	Some College	College Grad	Post-College	Respondents
Overall Self-Reported		17.8%	33.8%	20.0%	28.4%	2,134
SES Quartile	Lowest SES	30.5%	39.3%	14.7%	15.6%	512
	Lower Middle SES	18.4%	37.4%	19.3%	24.9%	559
	Upper Middle SES	15.1%	31.2%	22.9%	30.8%	484
	Highest SES	8.3%	27.8%	23.0%	40.9%	579
·		Percent (by Row) of Self-Reported Annual Household Income				N of Survey
		<\$50,000	\$50,000 - \$74,999	\$75,000 - \$99,000	≥\$100,000	Respondents
Overall Self-Reported		30.7%	28.4%	17.5%	23.4%	2,082
SES Quartile	Lowest SES	55.1%	27.7%	10.3%	6.9%	505
	Lower Middle SES	32.4%	33.6%	17.6%	16.5%	547
	Upper Middle SES	23.0%	30.4%	19.7%	26.9%	473
	Highest SES	13.6%	22.1%	22.1%	42.2%	557

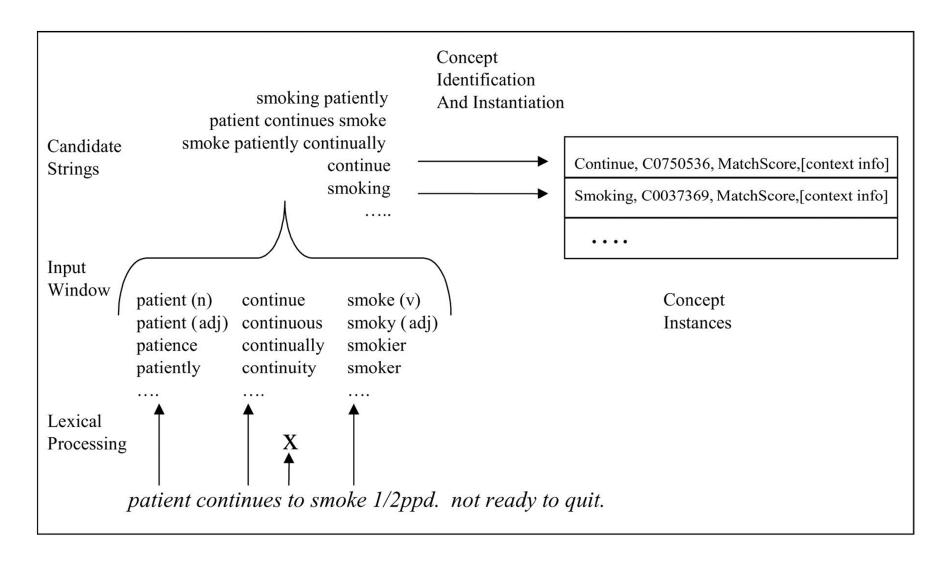
- % of households with income below the poverty level
- % of households receiving public assistance
- % of households with annual income below \$30,000
- % of working age adult males not in the labor force
- % of adults ≥25 years with a high school education or less
- · Log of median household income
- Log of median value of single family homes

## i2b2 Data Query Tool Interface



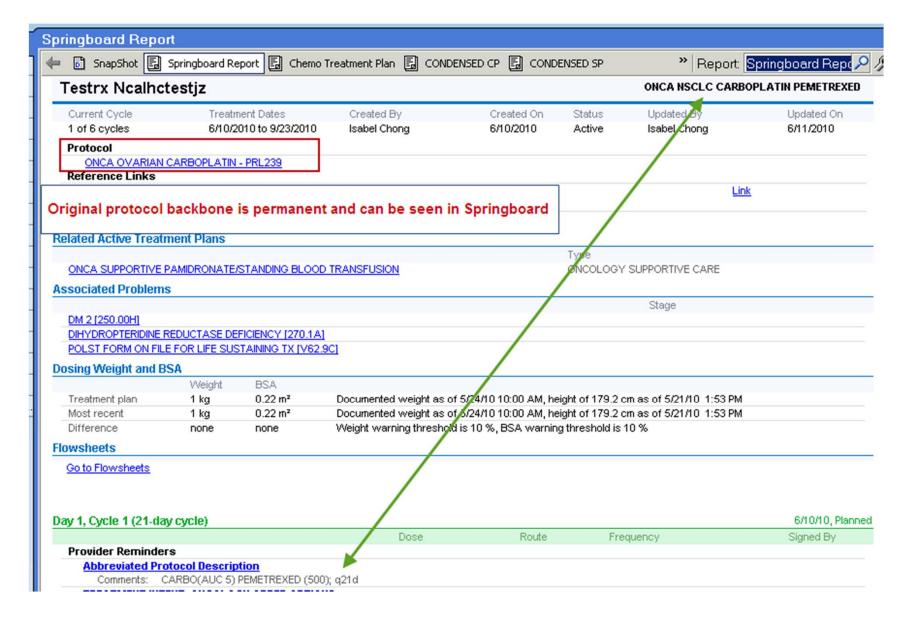


# Natural Language Processing in MediClass





### **Oncology Chemotherapy Interface**





#### CRN Research Resources

- Defined populations
- Ambulatory EMR data
- Health plan administrative/utilization data
- Patient Web portals
- Distributed research methods
- Emerging opportunities
  - Hospital EMRs
  - FDA Mini-Sentinel Drug Safety Surveillance
  - Biospecimen Repositories ⇔ EMR-based phenotypes



#### **Breast Cancer Research in the CRN**

Rebecca A. Silliman, MD, PhD

**Professor** 

Departments of Medicine and Epidemiology
Boston University Schools of Medicine and Public Health

Academic Liaison Committee, CRN
CRN Collaborator



#### CRN Breast Cancer Research Vision

- To use CRN population, data resources, and access to biological specimens to address key breast cancer research questions regarding:
  - Risk prediction and early detection
  - Prognosis
  - Treatment and its complications
  - Survivorship and Long-term outcomes
- Recent areas of emphasis:
  - Diffusion and comparative effectiveness of new technologies
  - Role of biomarkers in prognosis and treatment planning
  - Quality of care in relation to mammography, surgery, and patient experiences



#### Features of CRN Breast Cancer Studies

- Use representative community-based populations with large numbers of breast cancer events
- Draw comparison groups from identifiable sampling frames
- Use complementary data sources: electronic, medical record, and cancer registry data
- Involve three-six health plans
- Have substantial scholarly productivity



#### Notable CRN Breast Cancer Research

- Studies of Prophylactic Mastectomy (PM)
  - Contralateral PM after a breast cancer diagnosis
  - Bilateral PM in those with an elevated breast cancer risk
- Predictors of DCIS Recurrence
- Outcomes in Older Women



#### Breast Cancer in Older Women (BOW)

#### Background:

- Older women disproportionately bear the burden of breast cancer incidence and mortality
- Older women are less likely to receive standard care
- Older women are underrepresented in clinical trials
- Observational studies performed in integrated health care delivery systems offer the best opportunity for studying the comparative effectiveness of therapies in this population

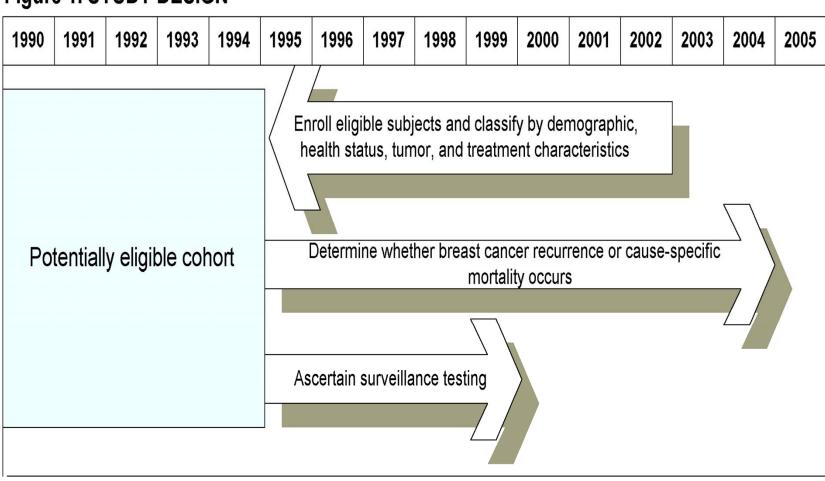
#### Question:

— Is less than standard care a risk factor for bad outcomes?



# Study Design

Figure 1. STUDY DESIGN





# Prevalence of Outcomes Through 10 Years of Follow-up

N=1859

- Unique recurrences/second primaries: 351 (19%)
  - 295 recurrences
  - 56 second primaries
- Mortality: 746 (40%)
  - 295 breast cancer
  - 451 other causes
- Disenrollment: 190 (10%)



### **Breast Cancer Recurrence and Mortality**

Primary Therapy	Local / Regional Recurrence HR (95% CI)	Breast Cancer Mortality HR (95% CI)
Mastectomy	Ref	Ref
BCS + RT	0.70 (0.40 – 1.30)	1.10 (0.80 – 1.51)
BCS only	3.50 (2.00 – 6.00)	2.19 (1.51 – 3.18)

BCS = Breast Conserving Surgery; RT = Radiation Therapy N=1837

Recurrences and mortality were 2-3.5 fold higher in women treated with BCS alone



## Tamoxifen Adherence and Breast Cancer Mortality in Women with Hormone Responsive Tumors

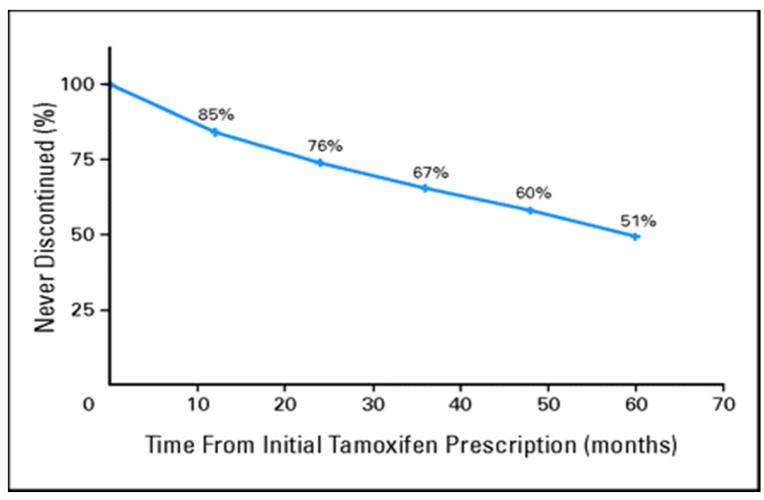
Tamoxifen Use	Breast Cancer Mortality HR (95% CI)
<1 year	6.26 (3.10 – 12.64)
1-1.9 years	4.12 (1.90 – 8.93)
2-4.9 years	1.31 (0.73 – 2.33)
≥5 years	Ref

N=1837

Women who received <2 years of tamoxifen had a 4-6 times greater hazard of dying of breast cancer



#### Tamoxifen Discontinuance



N= 961



# Breast Cancer Mortality by Number of Surveillance Mammograms

# of Surveillance Mammograms	Breast Cancer Mortality OR (95% CI)
0	Ref
1	0.67 (0.39 – 1.10)
2	0.52 (0.25 – 1.10)
3	0.36 (0.13 – 0.99)
4 or more	0.12 (0.01 – 1.10)

N=1846



### What are the Next Steps?

- Are there efficient ways to confirm the persistence of age-associated variations and outcomes?
- What would be the intervention targets if they persist?
  - Improved clinical assessment of older women?
  - Oral therapy adherence?
  - Identification and tracking of those in need of surveillance mammography?



# A Career in Cancer Research in the HMO CRN

Chyke A. Doubeni, MD, MPH

**Assistant Professor** 

Department of Family Medicine and Community Health
University of Massachusetts Medical School,
Fallon/Meyers Primary Care Institute

**Investigator, CRN** 



# Strengths of the CRN for Training the Next Generation of Cancer Researchers

- Investigator training programs
  - CRN Scholars Program
  - Research support (pilot funds and PI officer)
  - Workshops, Networking and Mentoring
- Multiple linked sources of data on the continuum of cancer care on a large and stable populations base
  - Study multiple outcomes from delivery and receipt of cancer screening to cancer mortality
- Partnering with clinical, policy, and administrative leadership at the health plans:
  - Enhances the relevance and rapid diffusion of innovation
  - Allows to influence policy and screening practices

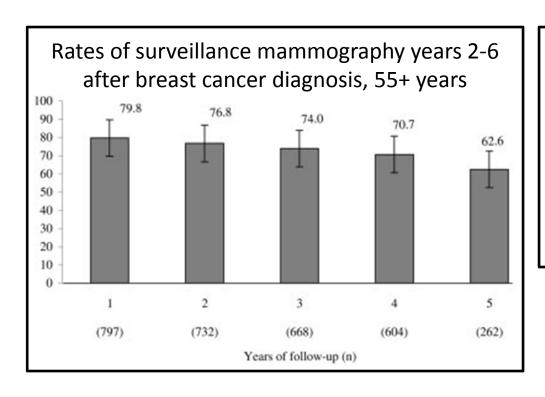


# A Career of Cancer Prevention Research Training in the CRN

- Career Development (Center to Reduce Cancer Health Disparities)
  - 2004-2007: Research supplement for under-represented minorities (CRN)
  - 2007-2012: KO1 "Understanding Racial and Ethnic Differences in Survival from Colorectal Cancer"
  - 2009-2010: KO1 ARRA Administrative Supplement
- CRN Scholars Program (DCCPS)
  - 2007-2009: CRN pilot project "Socioeconomic Diversity in Integrated Healthcare Delivery Systems"
- Independent Research Awards (DCCPS)
  - 2009-2011: RC2 Cancer Screening Effectiveness and Research in Community-based Healthcare
  - 2010-2015: RO1 Effectiveness of Screening Colonoscopy in Reducing Deaths from Colorectal Cancer



# Patterns and Predictors of Mammography Utilization Among Breast Cancer Survivors , n=797



Relationships with receipt of surveillance mammography	
	OR (95% CI)
Other MDs	Ref
Visits with PCP	2.21 [1.73-2.82]
Visits with GYN	3.49[2.55-4.79]

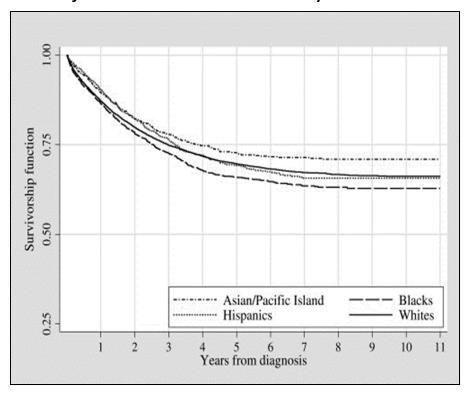
Cancer survivors with visits to gynecologists or primary care physicians had a higher likelihood of having mammograms

Minority Supplement – CRCHD/NCI
Doubeni et al. Cancer 2006;106:2482–8



### Racial/Ethnic Disparities for Colorectal Cancer-Specific Deaths in Insured Populations, n=13958

#### **Unadjusted Survival Probability Plot**



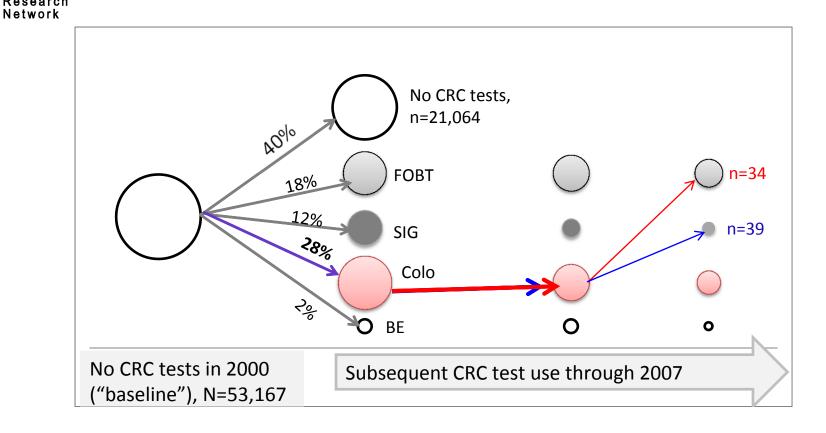
Hazard ratios (95% CI) for non-Hispanic blacks compared to whites	
Adjusted for demographics	1.17 [1.06-1.30]
Adjusted for receipt of treatment, stage	1.06 [0.96-1.17]

 Showed CRC mortality disparities among insured populations

- Diagnosed 1993-1998
- Followed through 2003



### equence of Colorectal Cancer Test Use 2000-2007



- Historical cohort, 3 HMOs
- Age 50-75 yrs in 2000
- Followed through 2007

- Shows our ability to study
  - Patterns of use
  - Underuse, overuse and misuse



The HMO



# Cancer Screening Effectiveness and Research in Community-based Healthcare

 Goal: Generate and disseminate scientific knowledge about effective cancer screening strategies in real-world settings

#### Aims:

- Create a multi-disciplinary, multi-site center for cancer screening comparative effectiveness research (CER)
- Develop methodological capacity for population-based CER studies
- Conduct two Proof of Principle studies:
  - Effectiveness of colonoscopy relative to other screening strategies in preventing advanced forms of colorectal cancers
  - Screening yield by liquid-based (Thin-Prep) cytology relative to conventional PAP test



# Effectiveness of Screening Colonoscopy in Reducing Deaths from Colorectal Cancer

#### Background:

 No direct evidence on the effectiveness of screening colonoscopy in reducing death from right colon cancers

#### Primary aim:

 Estimate the effectiveness of screening colonoscopy for preventing death from colon cancer particularly for cancers in the right colon

#### Secondary aims:

- Assess the impact of the quality of colonoscopy on its effectiveness
- Evaluate the effectiveness of colonoscopy relative to sigmoidoscopy



# CRN as an Environment for Career Development

The access to unique data systems on a stable and diverse population base along networking, collaborations, mentorships and opportunities to partner with health plan leaders afforded by the CRN positions it uniquely to train the next generation of population-based cancer researchers.



# UCSF Collaborative Study Medical Radiation and Cancer Risk

#### Rebecca Smith-Bindman, MD

Professor Departments of Radiology,
Epidemiology and Biostatistics
Obstetrics, Gynecology, and Reproductive Medicine
University of California, San Francisco

2009-2010, visiting Scientist NCI, Radiation Epidemiology Branch, Division of Cancer Epidemiology and Genetics

**CRN Collaborator** 



#### Areas of Interest

#### Research Focus

 Broadly on the utilization, interpretation, accuracy and outcomes associated with medical imaging

#### Goals

- To understand whether patients are helped or harmed by undergoing different imaging tests
- To quantify risks and benefits of imaging
- To develop concrete guidelines about when and how to image patients in different clinical settings



## Background: Utilization of Imaging

- Utilization of new imaging technology (CT, MRI, PET) has increased dramatically last 20 years
- There are many drivers of increased imaging including improvements in technology, strong financial incentives and patient and physician generated demand
- Group Health Cooperative (CHC) study demonstrated 10-20% annual increase in imaging using these new technologies\*
- At GHC, the dramatic rise in imaging was associated with a doubling of imaging related costs during last 10 years\*



## Background: Safety from CT

- CT has become a mainstay of medical imaging
- Radiation dose associated with CT are higher and more variable than widely known\*
- Doses in the same range as a single CT have been associated with cancer, but no study has directly assessed CT
  - Data is from Hiroshima survivors, accidental exposures to radiation, and medical exposures to radiation for benign and malignant disease
- The absence of direct assessment of CT has led to dismissing of the results and known associations of radiation with cancer



## Setting to Study Safety of Imaging

- Cancers from radiation take >5-10 years to develop and radiosensitive cancers are uncommon
- To study the risks of imaging related radiation need:
  - A large diverse population (millions of patients), including people across all ages
  - Capacity to comprehensively / accurately assess imaging & dose
    - Studies that ask subjects to recall imaging are biased
  - Ability to follow patients for many years after imaging to see if cancer develops
  - Ability to link patients to population based cancer registries to learn about cancers many years after exposure

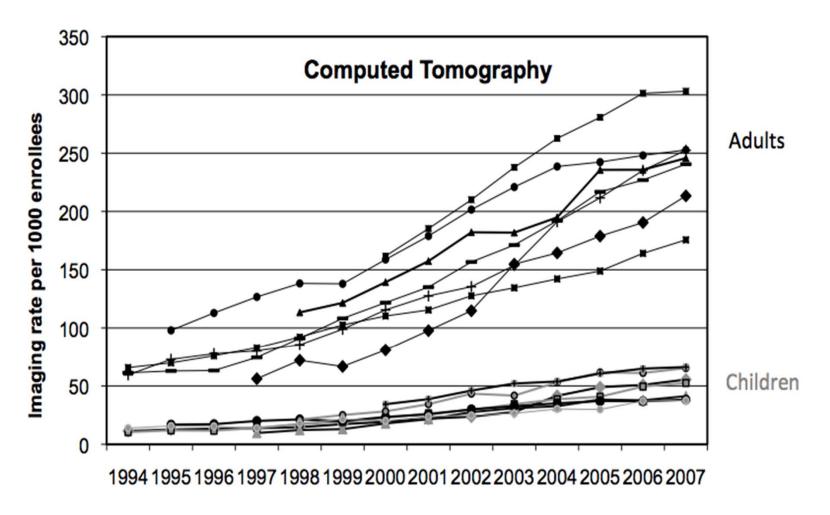


## CRN Pilot Project

- I approached several CRN sites to conduct pilot study
- CRN sites with necessary data to assess imaging, cancer and outcomes were approached – very supportive/collaborative
- Scientific Aims of the Pilot
  - Patterns and variability of medical imaging over time
  - Patterns of radiation exposure associated with imaging over time
  - Variation in radiation exposure associated with CT examinations
- We rapidly designed study, applied and received pilot funding, and completed project – extremely efficient
- The results have since influenced several aspects of care at participating sites within extremely short time frame



# Even in the Setting of Integrated Health Plans Use of Imaging has Soared

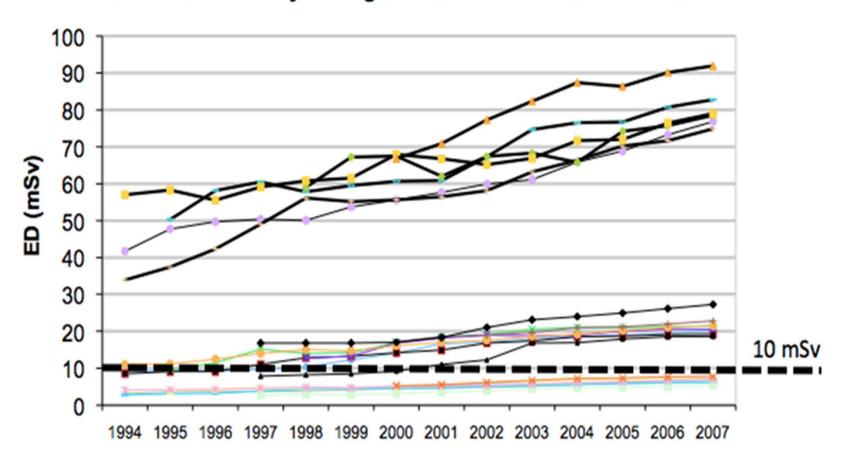


Results based on 2.5 million enrollees per year, including 30 million imaging examinations of all types and over 3 million CTs



#### Radiation Exposure Has Increased Dramatically

# Mean Annual Effective Dose and Dose Incured by the Highest 1% and and 10% of Patients

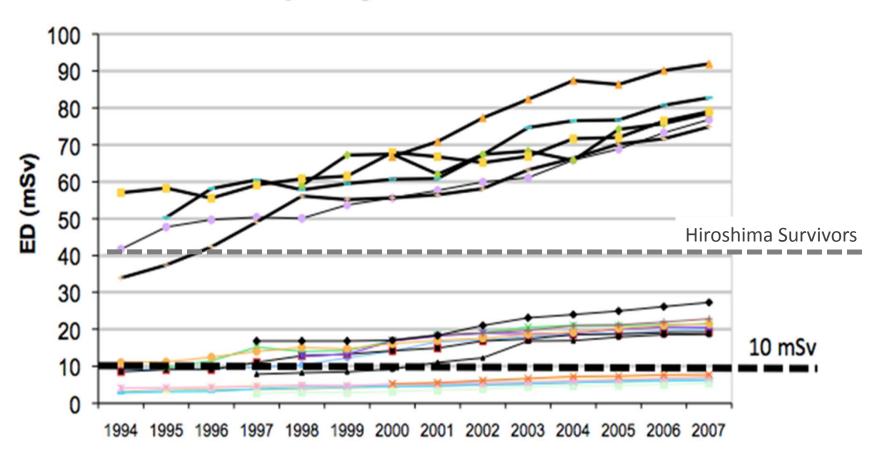


For each patient, each year, we summed radiation form all imaging examinations and described the distribution in dose among those with the highest annual exposure



#### Radiation Exposure Has Increased Dramatically

# Mean Annual Effective Dose and Dose Incured by the Highest 1% and and 10% of Patients



For each patient, each year, we summed radiation form all imaging examinations and described the distribution in dose among those with the highest annual exposure



#### Pilot Results: Dose and Cost

- Costs of imaging across the CRN have tripled over 15 years
- There are dramatic differences within and between health plans in use of different tests and costs – desperately calling for some comparative effectiveness work to tease apart what imaging is appropriate and effective and cost effective
- There are dramatic differences in radiation dose for the same study across settings – desperately calling for work to standardize dose to appropriate levels



#### Planned Future Work

- We have planned a Program Project grant to focus several integrated research efforts on medical imaging
- ARISE: Appropriate Radiology Imaging for Safety and Effectiveness
- The projects take advantage of the CRN: VDW to assess imaging, ability to retrieve imaging studies from 15 years ago to quantify dose, capacity to follow enrollees for many years to assess cancer
- The study includes 70 million person years of follow up, 150,000 cancers, allowing definitive answers to broad range of questions
- The projects also take advantage of the health plan leadership so we will rapidly disseminate results and improve care



### Importance of this Work

- Imaging is increasing dramatically
  - Fastest growing area of medical costs
- Radiation dose associated with medical imaging in the range where there is substantial evidence that it is carcinogenic:
  - Exposure to medical radiation has increased 5-10 times in the past 20 years
- Topic is timely as numerous organizations have called for this research: IOM, CMS, FDA, U.S. Congress, Professional Societies
- CRN is the only context in the US where such a study is feasible
- CRN provides opportunity to assess care, rapidly study the cost and effectiveness of that care, quantify harms, and to rapidly disseminate results to improve care