

NCAB Extramural Issues Update

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NCI

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Trends in Submission of R01 Applications to NCI

- NCI R01 submission rate has risen from about 1,500 per round to 1,700 per round.
- Latest two receipt dates have been stable at about that level, but...
- At the payline of the 21st percentile, an increase of 600 applications requires 126 additional awards, and an increase of \$35 million in competing dollars.

R01 Trends, continued

- Has workload consequences in both NCI and CSR.
- NCI: receipt, referral, program, and grants administration work plus costs
- CSR:200 extra applications per round requires about 3 additional study sections

R01 Trends, continued

- CSR Study Section Restructuring
 - ◆ CSR is midway through redefining “boundaries” for its Initial Review Group Clusters
 - ◆ Oncologic Sciences Cluster is the next to be placed out on Web site for comments
 - ◆ Period of comment begins March 1, 2002, and lasts 90 days, so will coincide with AACR meeting and follow-up.

<http://www.csr.nih.gov/psbr/bst/bstintro.htm>

Center for Scientific Review

National Institutes of Health

Comments Page for Proposed Design of Study Sections

We invite public comment on the proposed guidelines for the new Bioengineering Sciences and Technologies (BST) Integrated Review Group (IRG) and its six new study sections. The guidelines can be found at <http://www.csr.nih.gov/PSBR/BST/BST.pdf>. This proposal represents a fundamental change for the review of bioengineering applications at NIH. The BST Study Section Boundaries (SSB) Team, a working group of scientific leaders in BST research, recommended the proposed new structure at a meeting in November 2001. A roster of the SSB Team is included in the study section guidelines.

<http://www.csr.nih.gov/PSBR/BST/BSTRoster.pdf>

<http://www.csr.nih.gov/psbr/irgcomments.htm>

Center for Scientific Review

National Institutes of Health

Referral & Review

Proposed Design of Study Section

The Center for Scientific Review (CSR) at the National Institutes of Health (NIH) is continuing the second phase of the initiative recommended by its Panel on Scientific Boundaries for Review (PSBR). Phase 2 of this activity involves the design of study sections within each integrated review group (IRG) that was proposed in the Phase 1 report. A brief update along with a tentative schedule for the implementation of this phase is provided in the attachment.

New Regulations and Rulings

Kurzon Case on Release of Data on Unfunded Applications

- Stems from a 1999 challenge by Dr. George Kurzon to a denial of a Freedom of Information Act request to release names of unsuccessful NIMH grant applicants.
- NIH position was that this request constituted an unwarranted invasion of personal privacy.

Kurzon Case, continued

- July 2001: Judge Joseph DiClerico of the U.S. District Court for New Hampshire ruled against the Government. The data were released.
- Dr. Kurzon subsequently asked for names of all individuals who applied for but did not receive support across NIH during the May 2001 round.

Kurzon Case, continued

- Applicants who wish to share their concerns may write or e-mail Dr. Wendy Baldwin, NIH Deputy Director for Extramural Research, at DDER@NIH.GOV.
- We will report subsequent request information.
- So far, information released is just identity of applicants, **not** peer review information beyond not being funded, or other information in the application.

NIH Data Sharing Policy

NIH Data Sharing Policy

- Coming as a required part of research plan as of October 1, 2002
- Many positive elements
 - ◆ Focus on data not easily replicated
 - ◆ Avoids duplication, speeds confirmation
 - ◆ Is an allowable cost
 - ◆ Helps meet Congressional concerns

Data Sharing Policy, continued

- Permits many ways to share/allow access
 - ◆ Publication
 - ◆ World Wide Web
 - ◆ Data sharing archive
 - ◆ Invokes “community standards”

Data Sharing Policy, continued

- Provisions for exemptions if:
 - ◆ Impossible to shield participants
 - ◆ Proprietary information
 - ◆ Other privacy issues at stake

Data Sharing Policy, continued

- Evaluation of plans by peer review
 - ◆ Acceptable or unacceptable
 - ◆ Administrative note
 - ◆ “Culturally specific” to disciplines
 - ◆ Expectation of timeliness

<http://deainfo.nci.nih.gov/funding.htm>



Extramural Funding Opportunities

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NCI Sponsored Research Initiatives - *Requests for Applications, Program Announcements and Contracts:*

- ▶ Recently Cleared Concepts
- ▶ Program Announcements (PA)
- ▶ Request for Applications (RFAs)
- ▶ Request for Proposals (Contracts)
- ▶ SBIR/STTR (Small Business)
- ▶ Modular Program Announcements
- ▶ Trans- NIH Program Announcements (PAs)
- ▶ Trans-NIH Modular PA
- ▶ Trans-NIH Request for Applications (RFAs)
- ▶ NIH Guide for Grants and Contracts

Cancer Research Training - *Opportunities for US Citizens, Permanent Residents, and Fellowships for Foreign Nationals:*

- ▶ Career Development and Education Opportunities
- ▶ Minority Scientists/Institutions

Applications and Forms - *Application Forms, and Quick Guides for Preparing Grant Applications:*

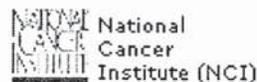
- ▶ Grant Application Forms
- ▶ Preparing Grant Applications
- ▶ Quick Guide for Grant Applications
- ▶ Everything You Wanted to Know About...

Policies and Guidelines - *Policies, Notices and Guidelines:*

- ▶ NCI Grants Policies
- ▶ NCI Extramural Notices
- ▶ Funding Policy Letter, FY 2001
- ▶ Grant Guidelines & Descriptions
- ▶ Extramural Policy Notices from the NIH Guide for Grants and Contracts
- ▶ DHHS Guidance; Human Embryonic Stem Cells...

Grants and Contracts - *Grant Review Process, Research Contracts Management, Research Resources, Contacts:*

- ▶ NCI Grants Review Process
- ▶ Grants Management
- ▶ Research Contracts Management
- ▶ NCI Research Resources
- ▶ E-mail: NCI Grants Referral Officer
- ▶ Program Directors Roster (*Get Free PDF Reader*)



Related Links

http://grants.nih.gov/grants/grants.htm



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Office of Extramural Research



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On OER Site

- [Welcome](#)
- [News](#)
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Funding Opportunities

This page includes grant funding opportunity resources, with the following sections: A [Welcome](#) page; [Training, Fellowships and Career Development Programs](#); [Guidelines and Policies](#); [Small Business Opportunities](#); [Special Funding Programs](#); [Modular Research Grant Applications](#); [Application Forms, Referral, and Review](#); and general [Information and Resources](#).

Grants Policy and Guidance

Here, you will find documents and links focused on grants administration and policy guidance, including the following sections: [Grants Policy Statements](#); [Policy Notices and Information](#); [Guidance Documents](#); [Award Information](#); [R29 Documents](#); and [Related Links - Sites of Interest](#).

Grants Compliance and Oversight

This Web page contains documents relating to NIH Grants Compliance and Oversight efforts including a section on [Compliance Documents](#).

Award Data

This page has a variety of links to award related data, including: [CRISP](#); [Charts and Graphs](#); [Extramural Trends](#); [National Data - Federal and Private Sector Funding](#); [Rankings](#); [Success Rates](#); [Training, Fellowships, Research Career Programs](#); [Characteristics Of: Clinical Research](#); [Geographic Area](#); [Reference Shelf](#).

Receipt Dates

Schedule of [Standard Receipt Dates](#) (with [Address Labels](#) and [RFA Labels](#)) and [SBIR/STTR Dates](#).

CRISP Database

CRISP (Computer Retrieval of Information on Scientific Projects) is a searchable database of [Current and Historical Awards](#). The database includes federally funded biomedical research projects conducted at universities, hospitals, and other research institutions. Users, including the public, can use the CRISP interface to search for scientific concepts, emerging trends and techniques, or identify specific projects and/or investigators.